



LKP Finance Ltd.

Regd. Off.: 112 - A / 203, Embassy Centre, Nariman Point, Mumbai - 400 021.

Tel.: 4002 4785 / 86 • Fax : 2287 4787 • Website : www.lkpsec.com

CIN : L65990MH1984PLC032831

June 22, 2020

To,
Dept. of Corporate Services (CRD)
BSE Limited
Phiroze Jeejeebhoy Towers,
Dalal Street,
Mumbai - 400 001

Scrip Code: 507912

Dear Sir / Madam,

Sub: Annual Secretarial Compliance Report for Financial Year 2019-20

Pursuant to Regulation 24A of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, read with SEBI Circular No. CIR/CFD/CMD1/27/2019 dated February 08, 2019, please find enclosed herewith Annual Secretarial Compliance Report for the financial year 2019-20.

Kindly take the same on record and acknowledge the receipt.

Thanking you,

Yours faithfully,
For **LKP Finance Limited**

GIRISH KUMAR
INNANI
Digitally signed by
GIRISH KUMAR INNANI
Date: 2020.06.22
17:43:21 +05'30'

Girish Innani
Gen. Manager (Legal) &
Company Secretary

V.R. ASSOCIATES

Company Secretaries

Resi: G-5/3 Jal Padma, Bangur Nagar, Goregaon West, Mumbai 400 104
Admn office: 31 Topiwala Center, Goregaon West, Mumbai 400 062
Tel: 022-28774306; Mobile 98214 47548; e-mail: cs.ram25@gmail.com
GST No. 27ACSPV8251A1Z7 ; PAN: ACSPV8251A; MSME Regn no. MH18D0041106

Secretarial compliance report of LKP Finance Limited for the year ended 31st March, 2020

We V.R. Associates have examined*:

- (d) all the documents and records made available to us and explanation provided by LKP Finance Limited (“the listed entity”). **Due to ongoing pandemic of Covid-19 and state of lockdown, all the papers, forms, returns filed and other records maintained by the Company, wherever possible, have been checked digitally.,*
- (e) the filings/ submissions made by the listed entity to the stock exchanges,
- (f) website of the listed entity,
- (d) any other document/ filing, as may be relevant, which has been relied upon to make this certification,

for the year ended 31st March, 2020 (“Review Period”) in respect of compliance with the provisions of :

- (c) the Securities and Exchange Board of India Act, 1992 (“SEBI Act”) and the Regulations, circulars, guidelines issued thereunder; and
- (d) the Securities Contracts (Regulation) Act, 1956 (“SCRA”), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India (“SEBI”);

The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include:-

- (i) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- (j) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018;
- (k) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- (l) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018; - **Not Applicable**
- (m) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014;
- (n) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008; - **Not Applicable**
- (o) Securities and Exchange Board of India (Issue and Listing of Non Convertible and Redeemable Preference Shares) Regulations, 2013; - **Not Applicable**

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(p) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;
and circulars/ guidelines issued thereunder;

and based on the above examination, we hereby report that, during the Review Period:

(e) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder, except in respect of matters specified below:-

Sr.No	Compliance Requirement (Regulations/ circulars / guidelines including specific clause)	Deviations	Observations/ Remarks of the Practicing Company Secretary

(f) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder insofar as it appears from my/our examination of those records.

(g) The following are the details of actions taken against the listed entity/ its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (*including under the Standard Operating Procedures issued by SEBI through various circulars*) under the aforesaid Acts/ Regulations and circulars/ guidelines issued thereunder:

Sr. No.	Action taken by	Details of violation	Details of action taken E.g. fines, warning letter, debarment, etc.	Observations/ remarks of the Practicing Company Secretary, if any.
N.A	N.A	N.A	N.A	N.A

(h) The listed entity has taken the following actions to comply with the observations made in previous reports:

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Sr. No.	Observations of the Practicing Company Secretary in the previous reports	Observations made in the secretarial compliance report for the year ended 31 st March, 2019	Actions taken by the listed entity, if any	Comments of the Practicing Company Secretary on the actions taken by the listed entity
N.A	N.A	N.A	N.A	N.A

For **V.R. Associates**
Company Secretaries

V
RAMACHAN
DRAN

Digitally signed by
V RAMACHANDRAN
Date: 2020.06.22
12:40:06 +05'30'

V. Ramachandran
CP 4731; ACS:7731

Place : Mumbai
Date : 22nd June, 2020
UDIN: A007731B000362690